



2161

Docket No.: TLME-99-001.3.US

GP 2468  
Patent #11

## Information Disclosure Statement Transmittal

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	03/23/01	Name of Person Making the Deposit:	KATHERINE RINALDI	Signature of the Person Making the Deposit:
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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Hadi Partovi, Roderick Steven Brathwaite, Angus MacDonald David, Michael S. McCue, Brandon William Porter, John Giannandrea, Eckart Walther, Anthony Accardi and Zhe Li

Serial No.: 09/513,236

Group Art Unit: 2768

Filed: 02/24/00

Examiner: not yet assigned

Title: METHOD AND APPARATUS FOR CONTENT PERSONALIZATION OVER A TELEPHONE INTERFACE WITH ADAPTIVE PERSONALIZATION

The Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

### Information Disclosure Statement Transmittal

Transmitted herewith is the following:

Formal drawings, totaling ..... sheets.  
Informal drawings, totaling ..... sheets.  
Certification for PTO Consideration  
 Information Disclosure statement ( 2 sheets)  
 Information Disclosure statement and late filing fee  
 Form 1449  
 Petition for Extension of Time  
 Other: References Cited

Fee Calculation (for other than a small entity)					
Fee Items				Fee Rate	Total
Petition for Extension of Time (fee calculated elsewhere)				\$ .00	
Information Disclosure Statement, late filing				\$240.00	
Other:					
<b>Total Fees</b>					<b>\$0.00</b>

### PAYMENT OF FEES

1. The full fee due in connection with this communication is provided as follows:

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No.: 23-0085. A duplicate copy of this authorization is enclosed.

A check in the amount of \$

Charge any fees required or credit any overpayments associated with this filing to Deposit Account No.: 23-0085.

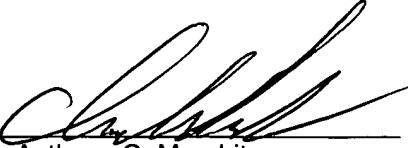
Please direct all correspondence concerning the above-identified application to the following address:



**WAGNER, MURABITO & HAO LLP**  
Two North Market Street, Third Floor  
San Jose, California 95113  
(408) 938-9060

Respectfully submitted,

Date: 3/23/2001

By:   
Anthony C. Murabito  
Reg. No. 35,295



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: TLME-99-001.3.US

Inventor(s): Partovi et al.

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The Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Information Disclosure Statement Submitted Pursuant to 37 C.F.R. 1.97(b)

The citations referenced herein, copies attached, may be material to the examination of the above-identified application and are, therefore, submitted in compliance with the duty of disclosure as defined in 37 C.F.R. 1.56. The Examiner is requested to make these citations of official record in the application.

This Information Disclosure Statement submitted in accordance with 37 C.F.R. 1.97(b) is not to be construed as a representation that a search has been made, that additional items material to the examination of this application do not exist, or that any one or more of these citations constitute prior art under 35 U.S.C. 102.

The Examiner's attention is respectfully directed to the following U.S. Patents:

<u>Pat. No.</u>	<u>Pat. Title</u>	<u>Grant Date</u>
6,067,348	OUTBOUND MESSAGE PERSONALIZATION	05/23/00
5,915,001	SYSTEM AND METHOD FOR PROVIDING AND USING UNIVERSALLY ACCESSIBLE VOICE AND SPEECH DATA FILES	06/22/99
5,918,213	SYSTEM AND METHOD FOR AUTOMATED REMOTE PREVIEWING AND PURCHASING OF MUSIC, VIDEO, SOFTWARE, AND OTHER MULTIMEDIA PRODUCTS	06/29/99
5,758,322	METHOD AND APPARATUS FOR CONDUCTING POINT-OF-SALE TRANSACTIONS USING VOICE RECOGNITION	05/26/98

The Examiner's attention is respectfully directed to the following Foreign Patents:

<u>Pat. No.</u>	<u>Pat. Title</u>	<u>Grant Date</u>
WO 98/56154	COMMUNICATIONS SYSTEM FOR DELIVERING PROMOTIONAL MESSAGES	12/10/98

The Examiner's attention is respectfully directed to the following Documents:

B. Mazor et al., "THE DESIGN OF SPEECH-INTERACTIVE DIALOGS FOR TRANSACTION-AUTOMATION SYSTEMS", 02/17/95, GTE Laboratories Inc., 40 Sylvan Rd., Waltham MA 02254, USA

Gardner-Bonneau, "HUMAN FACTORS AND VOICE INTERACTIVE SYSTEMS", 1999, Kluwer Academic Publishers, 101 Philip Dr., Norwell, MA 02061 USA

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Mazor et al., "OASIS- A SPEECH RECOGNITION SYSTEM FOR TELEPHONE SERVICE ORDERS", 1994, GTE Laboratories Inc., 40 Sylvan Rd., Waltham MA 02254, USA

Hemphill et al., "SPEECH-AWARE MULTIMEDIA", Spring 1996, IEEE Multimedia

Lau et al., "WEBGALAXY: BEYOND POINT AND CLICK - A CONVERSATIONAL TO A BROWSER", 1997, Computer Networks and ISDN Systems 29

"VOXML 1.0 APPLICATION DEVELOPMENT GUIDE", 09/98, Motorola, Inc., Consumer Applications and Services Division, 55 Shurman Blvd., Ste. 600, Naperville, IL 60563 USA

Hemphill et al., "SURFING THE WEB BY VOICE", 11/95, ACM Multimedia '95, San Francisco, CA

"AMERICAN EXPRESS CREDIT AUTHORIZATION VOICE RESPONSE SYSTEM", AVIOS '87 Voice I/O Systems Applications Conference, Radisson Mark Plaza Hotel, Alexandria, VA

"CALL CENTER PRODUCTS", Edify Corporation, <http://www.edify.com>

Meng et al., "WHEELS: A CONVERSATIONAL SYSTEM IN THE AUTOMOBILE CLASSIFIEDS DOMAIN", 10/96, ICSLP 96

Brooks et al., "VOICE CONTROLLED TELEPHONE BANKING", 10/88, AVIOS '88 Voice I/O Systems Applications Conference

"V-COMMERCE- THE V-COMMERCE ALLIANCE!", <http://www.V-Commerce.com>

"V-COMMERCE- WHITE PAPER", 10/6/98, Nuance Communications

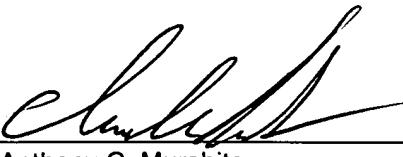
Hein, "CUSTOMER SERVICE APPLICATIONS USING VOICE RESPONSE AND AGENT AUTOMATION IN A BANKING ENVIRONMENT", AVIOS '89 Voice I/O Systems Applications Conference

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